

| | | | |
|--|--|--------------------------------------|-------------------------------|
| Substitute Form PTO-1449 (Modified) | U.S. Department of Commerce Patent and Trademark Office | Attorney Docket No. 17146-0004001 | Application No. 10/826,950 |
| Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b)) | | Applicant Stephen K. Pinto et al. | |
| | | Filing Date April 16, 2004 | Group Art Unit 2129 |

U.S. Patent Documents

| Examiner Initial | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
|------------------|-----------|-----------------|------------------|---------------|-------|----------|----------------------------|
| | 1 | 2003/0088565 | 05/2003 | Walter et al. | | | |
| | 2 | 2004/0030667 | 02/2004 | Xu et al. | | | |
| | 3 | 6,026,397 | 02/2000 | Sheppard | | | |
| | 4 | 6,684,208 | 01/2004 | Kil et al. | | | |
| | 5 | 6,836,773 | 12/2004 | Tamayo et al. | | | |
| | 6 | 7,499,897 | 03/03/2009 | Pinto et al. | | | |

Foreign Patent Documents or Published Foreign Patent Applications

| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation | |
|------------------|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
| | | | | | | | Yes | No |
| | | | | | | | | |

Other Documents (include Author, Title, Date, and Place of Publication)

| Examiner Initial | Desig. ID | Document |
|------------------|-----------|---|
| | 7 | Tashman, "Automatic Forecasting Software: A Survey and Evaluation," <i>International Journal of Forecasting</i> , 7(2) (1991) |
| | 8 | Wang et al., "An Expert System for Forecasting Model Selection," <i>IEEE</i> (1992) |
| | 9 | New technology Card News, 10(6) (April 3, 1995) |
| | 10 | Pinto, "Smart Data Use Can Improve Bank Insurance Marketing National Underwriter," 106(20) (July 2002) |
| | 11 | Autobox 5.0 for Windows – User's Guide, <i>Automatic Forecasting Systems, Inc.</i> (December 10, 1999) |
| | 12 | SmartSoftware Web Pages (www.smartcorp.com), <i>Smart Software Inc.</i> , (July 2001) (Retrieved from Archive.org December 20, 2005) |
| | 13 | ForecastPro Product Brochure (www.forecastpro.com), <i>Business Forecasting Systems</i> (2000), (Retrieved from Archive.org December 20, 2005) |
| | 14 | PAIR Transaction History for U.S. Application Serial No. 10/826,711, filed on 4/16/2004 |
| | 15 | PAIR Transaction History for U.S. Application Serial No. 10/826,452, filed on 4/16/2004 |
| | 16 | PAIR Transaction History for U.S. Application Serial No. 10/826,949, filed on 4/16/2004 |
| | 17 | PAIR Transaction History for U.S. Application Serial No. 10/826,630, filed on 4/16/2004 |
| | 18 | PAIR Transaction History for U.S. Application Serial No. 10/826,947, filed on 4/16/2004 |
| | 19 | PAIR Transaction History for U.S. Application Serial No. 10/826,453, filed on 4/16/2004 |

| | |
|--|-----------------|
| Examiner Signature | Date Considered |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |